FORM PTO-1390 (REV. 12-2001) OF COMMERCE PATENT AND TRADEMARK OFFICE MATS: 036 TRANSMITTAL LETTER TO THE UNITED STATES U.S. APPLICATION NO. (If known, see 37 CFR 1.5 DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371 INTERNATIONAL FILING DATE INTERNATIONAL APPLICATION NO. PRIORITY DATE CLAIMED PCT/JP01/06196 July 17, 2001 July 17, 2000 TITLE OF INVENTION ACTUATOR FOR DISK DEVICE APPLICANT(S) FOR DO/EO/US Tetsuya TAKASHIMA Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: 1. X This is a FIRST submission of items concerning a filing under 35 U.S.C. 371. 2. This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371. 3. 🔀 This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. 4. X The US has been elected by the expiration of 19 months from the priority date (Article 31). 5. X A copy of the International Application as filed (35 U.S.C. 371(c)(2)) is attached hereto (required only if not communicated by the International Bureau). has been communicated by the International Bureau. is not required, as the application was filed in the United States Receiving Office (RO/US). 6. An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). a. A is attached hereto. has been previously submitted under 35 U.S.C. 154(d)(4). 7. Amendments to the claims of the International Aplication under PCT Article 19 (35 U.S.C. 371(c)(3)) are attached hereto (required only if not communicated by the International Bureau). have been communicated by the International Bureau. have not been made; however, the time limit for making such amendments has NOT expired. have not been made and will not be made. 8. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371 (c)(3)). 9. An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). 10. An English lanugage translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). Items 11 to 20 below concern document(s) or information included: 11. X An Information Disclosure Statement under 37 CFR 1.97 and 1.98. 12. An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 13. A FIRST preliminary amendment. 14. A SECOND or SUBSEQUENT preliminary amendment. 15. A substitute specification. 16. A change of power of attorney and/or address letter. 17. A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821 - 1.825. A second copy of the published international application under 35 U.S.C. 154(d)(4). 19. A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4). Other items or information:

I hereby certify this paper is being deposited with the United States Postal Service for delivery via Express Mail Service in an envelope addressed to Assistant Commissioner of Patents, Washington. D.C. 20231

Washington, D.C. 2023/

Marc A. Rossi

By:

Date: 03/18/01

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21_LY   The following fees are submitted:   BASIC NATIONAL FEE OF CPR 1492 (p) (1) - (5):   Neither international preliminary examination fee (37 CFR 1.482)   nor international Search Rec (37 CFR 1.482) paid to USPTO   and International Search Report not prepared by the EPO or JPO   S890.00   International Preliminary examination fee (37 CFR 1.482) not paid to USPTO   but International Search Report prepared by the EPO or JPO   S890.00   International preliminary examination fee (37 CFR 1.482) paid to USPTO   S740.00   International preliminary examination fee (37 CFR 1.482) paid to USPTO   S740.00   International preliminary examination fee (37 CFR 1.482) paid to USPTO   S740.00   International preliminary examination fee (37 CFR 1.482) paid to USPTO   S740.00   International preliminary examination fee (37 CFR 1.482) paid to USPTO   S740.00   International preliminary examination fee (37 CFR 1.482) paid to USPTO   S740.00   International preliminary examination fee (37 CFR 1.482) paid to USPTO   S740.00   International preliminary examination fee (37 CFR 1.482) paid to USPTO   S740.00   International satisfied provisions of PCT Article 33(1)-(4)   S740.00   ENTER APPROPRIATE BASIC FEE AMOUNT   S740.00   ENTER APPROPRIATE BASIC FEE AMOUNT   S740.00   ENTER APPROPRIATE BASIC FEE AMOUNT   S740.00   CALAIMS   NUMBER FILED   NUMBER EXTRA   RATE   S740.00   Independent claims   1	NEW APPLICATION	INTERNATIONAL APPLICATION NO. PCT/JP01/06196				
BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5)):  Neither international preliminary examination for (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and international Search Report not prepared by the EPO or JPO	21. The following fees are submitted:					
and international Search Respon to to prepared by the EPO or JPO	BASIC NATIONAL FEE (37 CFR 1.	492 (a) (1) - (5)):				
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International preliminary examination fee (37 CFR 1.482) paid to USPTO and all claims satisfied provisions of PCT Article 33(1)-(4) \$100.00    ENTER APPROPRIATE BASIC FEE AMOUNT = \$ 890.00    Surcharge of \$130.00 for furnishing the oath or declaration later than \$\overline{\text{2}}\$ 20 \$ 30 \$ \$ 130.00	International preliminary examination but international search fee (37 CFR	fee (37 CFR 1.482) not paid to 1.445(a)(2)) paid to USPTO	USPTO \$740.00			
International preliminary examination fee (37 CFR 1.482) paid to USPTO and all claims satisfied provisions of PCT Arricle 33(1)-(4)	International preliminary examination but all claims did not satisfy provision	fee (37 CFR 1.482) paid to US s of PCT Article 33(1)-(4)	SPTO \$710.00			
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CLAIMS NUMBER FILED NUMBER EXTRA RATE  Total claims 12 20 = 0 x \$18.00 \$0.00   Independent claims 1 3 = 0 x \$84.00 \$0.00   MULTIPLE DEPENDENT CLAIM(S) (if applicable) x \$18.00 \$0.00   Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.  Processing fee of \$130.00 for furnishing the English translation later than 20 30 \$0.00    TOTAL NATIONAL FEE = \$1,020.00    Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property + 0.00    TOTAL FEES ENCLOSED = \$1,020.00    TOTAL FEES ENCLOSED = \$1,	ENTER APPROPRIA	ATE BASIC FEE AMOI	UNT =	\$ 890.0	0	
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Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.   S   SUBTOTAL   S   SUB	Independent claims 1 - 3	= 0				
Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.    Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.    Processing fee of \$130.00 for furnishing the English translation later than			+ \$280.00			
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months from the earliest claimed priority date (37 CFR 1.492(f)).  TOTAL NATIONAL FEE = \$ 1,020.00  Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property + \$ 0.00  TOTAL FEES ENCLOSED = \$ 1,020.00  Amount to be refunded: \$ charged: \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$	are reduced by 1/2.	s. See 37 CFR 1.27. The fees i	1			
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Marc A. Rossi  ROSSI & ASSOCIATES  P.O. Box 826  Ashburn, VA 20146-0826  SIGNATURE  Marc A. Rossi  NAME  31,923	1.137 (a) or (b)) must be filed and gran	nit under 37 CFR 1.494 or 1.4 nted to restore the application	95 has not been met to pending status.	, a petition to rev	rive (37 CFR	
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PATENT APPLICATION SERIAL NO. 10/088470

## U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE FEE RECORD SHEET

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